

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,878	03/22/2001	Karapet Ablabutyan	17793.00600

CONFIRMATION NO. 7381

FORMALITIES LETTER



OC000000006032455

#3

Malcolm B. Wittenberg
Crosby, Heafey, Roach & May
Suite 2000
Two Embarcadero Center
San Francisco, CA 94111

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

A.T.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2001 EEKUBAY1 00000031 09815878

01 FC:105

130.00 OP

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

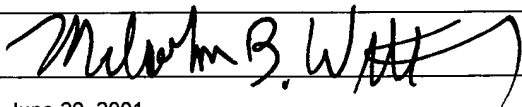
Application Number	09/815,878		
	Filing Date	March 22, 2001	
	First Named Inventor	Karapet Ablabutyan	
	Group Art Unit	3723	
Examiner Name			
Total Number of Pages in This Submission	10	Attorney Docket Number	17793.00600

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/
Incomplete Application☒ Response to Missing
Parts under 37 CFR
1.52 or 1.53☒ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):**Form PTO-1595; Combined
Declaration and Power of
Attorney; copy of Notice to File
Missing Parts**

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameMalcolm B. Wittenberg
Crosby, Heafey, Roach & Mayl

Signature



Date

June 29, 2001

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 29, 2001

Typed or printed name

Rebecca M. Klits

Signature



Date

June 29, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO FEE TRANSMITTAL **for FY 2001**

JUL 02 2001
U.S. PATENT & TRADEMARK OFFICE

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/815,878
Filing Date	March 22, 2001
First Named Inventor	Karapet Ablabutyan
Examiner Name	
Group / Art Unit	3723
Attorney Docket No.	17793.00600

TOTAL AMOUNT OF PAYMENT (\$) 170

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

Deposit
Account
Name

- ☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	0	0	0
Independent Claims	3	0	0
Multiple Dependent		0	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)


*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 170)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Maldom B. Wittenberg	Registration No. Attorney/Agent	27,028	Telephone	(415) 659-5908
Signature		Date	June 29, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#3-

Attorney's Docket No. 17793.00600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Karapet Ablabutyan

Examiner:

For: LIFT DEVICE WITH VARIABLE SPEED
ACTUATION

Group Art Unit: 3723

Serial No. 09/815,878

Filed: March 22, 2001

RESPONSE TO NOTIFICATION OF MISSING PARTS

Assistant Commissioner For Patents

Box Missing Parts

Washington, D.C. 20231

Sir:

In response to the Examiner's Notice To File Missing Parts Of Application mailed May 2, 2001 (copy enclosed), enclosed are a signed Combined Declaration and Power of Attorney and an Assignment with attached Recordation Form Cover Sheet (Form PTO-1595) for the above-referenced application.

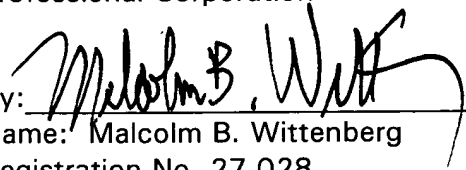
Enclosed is check number 2313 in the amount of \$170.00 to cover the surcharge as set forth in 37 CFR 1.16(e) (\$130.00) and the recordation fee for one assignment as set forth under 37 CFR 1.21(h) (\$40.00).

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Deposit Account No. 03-3821, **referencing Attorney Docket No. 17793.00600. A duplicate sheet is attached.**

Respectfully submitted,
Crosby, Heafey, Roach & May
Professional Corporation

Dated: June 29, 2001

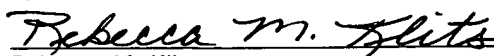
Two Embarcadero Center, Ste. 2000
PO Box 7936
San Francisco, CA 94120-7936
(415) 543-8700 Telephone
(415) 391-8269 Facsimile

By: 
Name: Malcolm B. Wittenberg
Registration No. 27,028
Attorneys for Applicant

CERTIFICATE OF MAILING - 37 C.F.R. § 1.08

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on June 29, 2001.

June 29, 2001


Rebecca M. Klits



Attorney's Docket No. 17793.00600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Karapet Ablabutyan

Examiner:

For: LIFT DEVICE WITH VARIABLE SPEED
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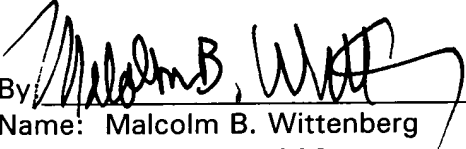
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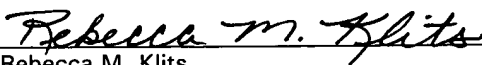
Two Embarcadero Center, Ste. 2000
PO Box 7936
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Attorneys for Applicant

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